Accounts

Supramolecular Self-Assembly of Macrocycles, Catenanes, and Cages through Coordination of Pyridine-Based Ligands to Transition Metals

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Recent developments in the study of the transition-metal mediated supramolecular self-assembly are reviewed. Focus is on the self-assembly of macrocycles, catenanes, and cages from $(en)Pd(NO_3)_2$ (1) and pyridine-based bridging ligands. Coordination of linear 4,4'-bipyridine on the cis coordination site of palladium complex gives a macrocyclic square supramolecule, whereas macrocyclic dinuclear Pd(II) complexes self-assemble from 1 and flexible bridging ligands. Unprecedented formation of catenanes through rapid slippage of two preformed molecular rings can be achieved by exploiting the labile character of a Pd(II)-linked macrocycle: i.e., a macrocycle assembling from 1 and PyCH₂C₆H₄CH₂Py exists in rapid equilibrium with its catenated dimer and the equilibrium is strongly pushed toward the catenane (> 99:1) in a polar media. The combination of 1 with tridentate ligands gives three-dimensional cagelike hosts. A spherical M₃L₂ complex organizes from 1 and a flexible tridentate ligand only in the presence of specific guests providing a model for induced fit. On the other hand, a nanometer-sized hollow supramolecule self-assembles from four rigid tridentate ligands held together by six protected Pd complex 1.

In biological systems, the organization of well-defined structures such as the double helices of DNA is frequently induced by hydrogen bonds and other weak interactions. The application of this mechanism to artificial systems provides the concept of supramolecular self-assembly, 1-3) which is a term for the spontaneous generation of a well-defined supramolecular architecture from component molecules under a well-defined set of conditions. In earlier studies on the supramolecular self-assembly, hydrogen bonds were most frequently employed as they are in all biological systems.⁴⁾ In the 1980s, however, incorporation of coordinate bonds into the supramolecular self-assembly triggered the development of an entirely new field in which organic and inorganic chemistries are completely scrambled. 5-7) Earlier and important examples of such works involve β -cyclodextrin rotaxane with Co(III) coordinated stoppers (1981),8) Cu(I)-templated synthesis of catenanes (1983), ^{5a,9)} Cu(II)linked macrocycles (1984),100 and Cu(I)-directed assembly of double helicates (1987) (Chart 1).11) In the 1990s, the metal directed self-assembly has been showing remarkable potentials to construct supramolecular architectures such as helices, 5d,11,12) grids, 13) boxes, 14) rods, 15) tubes, 16) interlocked systems, 5a,9) and so on.5-7) These works emphasize that coordinate bonds are the most useful bonds in supramolecular self-assembly due to their versatile geometrical modes (e.g., linear, trigonal, square planar, tetrahedral) in bond formations and their moderate to high bond strengths.

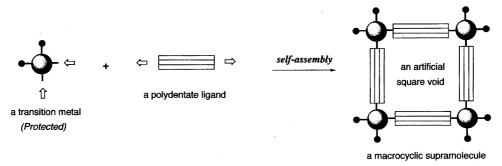
In the late 1980s, we also planned the transition metalbased self-assembly of supramolecules. While extensive studies had been made on the self-assembly of helical structures at that time, we paid our own attention to macrocycles as the target structure. Prior to our study, there were several reports on macrocycles containing transition metals in their backbones. For example, Shaw and others prepared macrocyclic mononuclear Ir(I), Pt(II), and Pd(II) complexes¹⁷⁾ and dinuclear Rh(I) complexes¹⁸⁾ during the systematic study of the complexation of transition metals with $Ph_2P(CH_2)_nPPh_2$ (n > 4). No binding properties were reported for these molecules. Probably the first example of inorganic macrocycles designed as synthetic receptors is Maverick's dinuclear Cu(II) complex (1984) assembled from Cu(II) ions and ligands with two bis(β -diketone) coordination sites and an aromatic spacer. 10a) Employment of a 2,7-naphthylene spacer made the cavity of their complex large enough to recognize small cyclic diamines such as DABCO. 10b,10c,10d)

We designed a macrocyclic structure that could be a synthetic receptor according to a very simple basic concept shown in Scheme 1. We paid our particular attention to the coordination geometry of a square planar transition metal because it involves a right angle which organic chemists had never employed to construct their target structures. Thus, Scheme 1 clearly illustrates that, when the right angle of a square planer transition metal is combined with a liner bidentate ligand, a macrocyclic square supramolecule assembles.

A metal-linked macrocycle (Maverick, 1984¹⁰)

A Cu(I)-chelated double helix (Lehn, 1987¹¹)

Chart 1. Earlier examples of supramolecular complexes.



Scheme 1. The concept of the supramolecular self-assembly of square macrocycles mediated by a protected, square-planar transition-metal.

The strategy of Scheme 1 is also characterized by the use of *protected* transition metals. The appropriate protection on a transition metal makes our strategy contrast strikingly with the general strategy of metal-directed assembly, in which naked metals have to be combined with more sophisticated ligands. In the first section of the present account, we disclose the self-assembly of macrocyclic supramolecules. Then, we deal with self-assembling catenanes (interlocked macrocycles). Finally, we expand the concept of Scheme 1 to three-dimensional systems and show self-assembling cagelike supramolecules that can encapsulate organic guests. ¹⁹⁾

Self-Assembly of Pd(II)- (or Pt(II)-) Linked Macrocyclic Complexes Macrocyclic Tetranuclear Complexes: Molecular Squares. According to the concept shown in Scheme 1, we first synthesized a macrocyclic tetranuclear complex 3 assembling from a cis-protected palladium-(II) complex 1 and equimolar amount of 4,4'-bipyridine 2 (Eq. 1).²⁰ The procedure is quite simple: Two components are combined in water (or water-methanol) at ambient temperature and the quantitative self-assembly of macrocyclic complex 3 is observed. This complex is isolated as a pure precipitate in more than 90% yield by adding ethanol to the reaction mixture. The solution structure was strongly supported by NMR and electrospray ionization mass spectroscopy (ESI-MS). In addition, the solid structure of 3 was

confirmed by X-ray crystallography, which showed the existence of an almost perfect square structure of 3 with face conformation of pyridine nuclei (Fig. 1).²¹⁾ The length of a

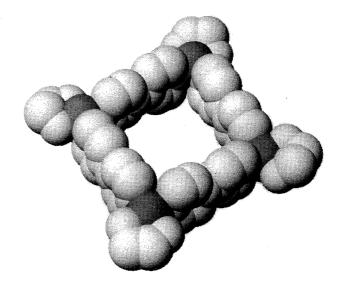


Fig. 1. The crystal structure of square complex 3. The crystal was obtained as a clathrate complex with naphthalene, though neither the naphthalene molecules nor NO₃⁻ ions were found due to high degree of disorder.

side of the cavity is approximately 8 Å; this corresponds to the diameter of the cavity of β -cyclodextrin. In water, complex 3 offers a hydrophobic cavity. Thus, we found the ability of 3 to recognize neutral aromatic compounds. For example, 1,3,5-trimethoxybenzene was recognized by 3 with a K_a value of 7.5×10^2 (Table 1).²²⁾

The platinum(II) counter part 5 was obtained in a quite different manner from those of the palladium(II) system. Since Pt(II)—Py bond is inert, treatment of (en)Pt(NO₃)₂ (4) with 4, 4'-bpy (2) gave kinetically distributed oligomeric products. On heating the mixture at 100 °C, however, the oligomers were gradually transformed into the thermodynamically most stable 5 (Eq. 2). After a few weeks, the transformation was almost completed and 5 could be isolated as a pure material (81%). A significant difference in stability was found

between 3 and 5. Addition of 1 to 3 in D_2O resulted in redistribution of products, giving a mixture of 3 and two acyclic components. In contrast, 5 was kept intact upon addition of 4, because of the inertia of Pt(II)-Py bond under ordinary conditions.²³⁾

The square cavity of **3** can be expanded by the incorporation of aromatic spacers into the 4,4'-bpy skeleton. However, the assembly of expanded molecular squares **6** was accompanied by the formation of cyclic trimers **7** (Eq. 3).²¹⁾ Recently, phosphine analogs of **3** was reported by Stang.²⁴⁾ Related square complexes in which metal ions provide the 90° angle at the four edges of the square were also reported by a few groups²⁵⁾ after our publication.

Table 1. Association Constants between Self-Assembled Macrocycles and Various Guests

Host	Guest	Association constant (L mol ⁻¹)	Ref.
3	N-(2-Naphthyl)acetamide	1800	26
	1,3,5-Trimethoxybenzene	750	20
	<i>p</i> -Dimethoxybenzene	330	22
	m-Dimethoxybenzene	580	22
	o-Dimethoxybenzene	30	22
	p-Bis(methoxymethyl)benzene	10	22
	1,4-Dimethoxycyclohexane	N.C.	22
5	<i>p</i> -Dimethoxybenzene	260	22
	m-Dimethoxybenzene	550	22
	o-Dimethoxybenzene	20	22
6b	1,3,5-Trimethoxybenzene	420	a)
	<i>p</i> -Dimethoxybenzene	130	a)
11	<i>p</i> -Dimethoxybenzene	200	38
	p-Dicyanobenzene	201	38
12	1,3,5-Trimethoxybenzene	2500	26
	<i>p</i> -Dimethoxybenzene	2680	26
	<i>p</i> -Bis(methoxymethyl)benzene	560	26
	<i>p</i> -Dicyanobenzene	80	26
	<i>p</i> -Dinitrobenzene	30	26

a) Unpublished results.

a: X = -C = C -; b: X = -CH = CH -; c: X = -C = C - C -; d: $X = -C_6H_4 -$

Macrocyclic Dinuclear Complexes. When flexible pyridine-based bidentate ligands were employed in place of 2, macrocyclic dinuclear complexes were quantitatively obtained in most cases.^{26–28)} Examples given in Chart 2 show that our approach provides general and highly efficient syntheses of macrocycles. Some of their structures were fully characterized by X-ray crystallography (Fig. 2). Otherwise, fast atom bombardment mass spectrometry (FABMS) supported the macrocyclic structures. Even a ligand involving 3-pyridyl groups gave a dinuclear macrocycle (16).²⁹⁾ It is particularly interesting that some of them showed unique ability for molecular recognition. For example, macrocycle 12 having two tetrafluorophenylene units showed remarkable molecular recognition ability for electron rich aromatic compounds. Thus, the association constant increased with increasing electron density of the guest molecules (Table 1). During the last several years, there have appeared a variety of self-assembling macrocycles from flexible ligands and naked transition metals.30,31)

Quantitative Self-Assembly of [2]Catenanes. Interlocking molecular rings are termed catenanes.³²⁾ The first synthesis of [2]catenane was achieved in 1960 by Wasserman,³³⁾ though his synthetic strategy was statistical and the yield of the catenane was very poor. Later, the highly efficient formation of a catenane was realized in 1983 by the transition metal-template strategy of Sauvage.^{5a,9)} More recently, another highly efficient approach to catenanes was developed by Stoddart.³⁴⁾ The self-assembly of organic aromatic systems through charge transfer interaction was shown to be highly effective to prepare catenanes. These pioneering works were followed by several catenane syntheses in the 1990s, most of which are mediated by amide hydrogen

bond³⁵⁾ or organometallic bond³⁶⁾ formation.

Catenanes continue to fascinate chemists partly in expectation of serving as molecular-scale devices and machinery. On the other hand, catenanes are taking an important role in our biological systems. It is known that DNA large rings are frequently catenated. Surprisingly, *topoisomeraze II* catalyzes the dissociation and catenation of the DNA rings.³⁷⁾ It seems very difficult to mimic such a biological transformation in artificial systems. However, the next section shows that supramolecular chemistry enables this transformation in an artificial system quite simply.

Self-Assembly and Structure of [2]Catenanes. We found that two-ring catenane ([2]catenane) 18 self-assembled from 1 and simple pyridine-based ligand 17 in water (Scheme 2).³⁸⁾ Since the palladium-pyridine coordinate bond is reversible, rapid equilibrium between catenane 18 and monomer ring 11 was observed, and the equilibrium ratio of these components could be controlled by simply adjusting the concentration of the components. At higher concentrations, the equilibrium ratio shifted so that two molecules of 11 produce one molecule of catenane 18 according to Le Chatelier's law (Table 2).

The structure of **18** was confirmed by NMR and electrospray ionization mass spectromety (ESI-MS) as well as X-ray crystallographic study of Pt(II) analog **19** (Fig. 3).³⁹⁾ In ¹H NMR, the inside and outside ligands of catenane **18** were independently observed (Chart 3) consistent with the inhibition of internal rotation of the ring, as suggested by a tight aromatic contact in the crystal structure. Being surrounded by aromatic rings of another ring, the inside phenylene protons appear with marked high field shift (δ =5.3).

Thermodynamics and Mechanism of the Catenane Formation. Even after the structure of catenane 18 was confirmed, we had two significant questions: why and how does this strange molecule spontaneously assemble? Concerning the first question (why?), we suggest that the assembly of the catenane is promoted by "double-differential complexation." That is, two molecular rings recognize each other in their cavities. The double-differential complexation produces a ΔG value approximately twice as much as simple molecular recognition, making the structure of the catenane stable enough to assemble quantitatively.

Such an interpretation is consistent with the remarkable medium effect on the equilibration. Less polar media pushed

Table 2. Concentration Effects on the Equilibrium Ratio of ${\bf 18}$ and ${\bf 11}^{\rm a)}$

Concn/mM ^{b)}	18 : 11
1	<1:>99
2	11:89
5	38:62
10	59:41
20	75:25
50	91 : 10

a) Measured in D_2O at room temperature. b) Net concentration of $Pd(\Pi)$.

Chart 2. Examples of self-assembling macrocycles.

the equilibration toward monomer 11 because hydrophobic interaction was reduced in the less polar medium. In contrast, this equilibrium was strongly pushed toward catenane 18 by employing a more polar medium which enhances the hydrophobic interaction. Thus, in a 1 M D_2O solution (1 $M=1 \text{ mol dm}^{-3}$) of sodium nitrate, the catenane became an overwhelmingly dominant species (Table 3).³⁸⁾

The second question (how?) is concerned with the mechanism of the catenane formation through very rapid slippage of molecular rings. A conventional explanation for the catenane formation involves the dissociation of a ring at a Pd–N linkage, threading another ring on the thread, and recon-

nection of the ends of the thread. However, a few experiments negated this simple mechanism. For example, molecular ring 12 did not interlock with Stoddart's polyether ring 20^{35} in spite of the large thermodynamic advantage (ΔH 22 kJ mol⁻¹ by MM2) (Eq. 4). Alternatively, NMR techniques using truncated driven nuclear Overhauser effect (TOE) as well as a few experiments suggested that the rapid interconversion is explained in terms of ligand exchange between Pd–N bonds. That is, the proposed pathway leading to the catenane involves two sequential ligand exchanges between two molecular rings concomitant with a twisting of the rings around each other (Fig. 4).⁴⁰⁾

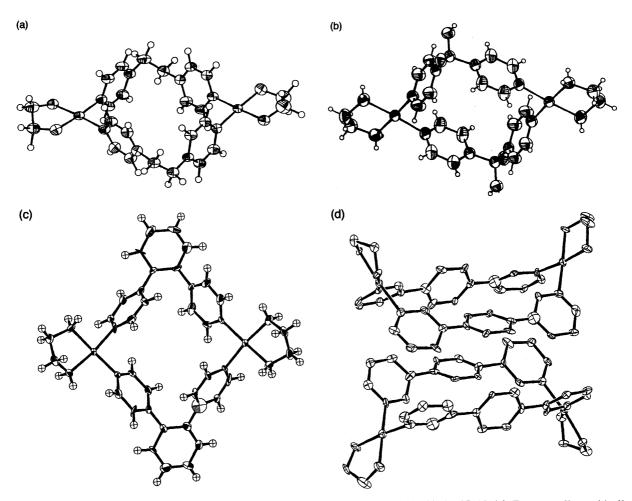


Fig. 2. Crystal structures of self-assembling dinuclear macrocyclic complexes: (a) 8; (b) 10; (c) 15; (d) 16. Two crystallographically independent molecules were found in the solid structure of 16 (d).

Scheme 2. Self-assembly of [2]catenane 18.

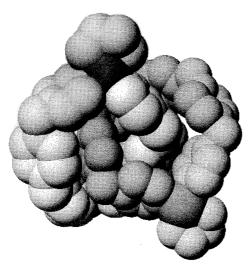


Fig. 3. The crystal structure of Pt(II) catenane 19.

Chart 3. 1 H NMR chemical shift (δ , ppm) of **18** in D₂O at room temperature.

A Molecular Lock We have discussed the unprecedented equilibration between a catenane and two component rings. However, the presence of the equilibrium means that catenane 18 once formed easily dissociates into two separate rings 11. If the labile coordinate bond can be frozen after the catenane assembles, a complete catenane that never dissociates into two rings can be obtained. Such a one-way formation of a catenane was achieved in a platinum(II) counterpart system (Eq. 5) using the concept of "molecular lock."³⁷⁾

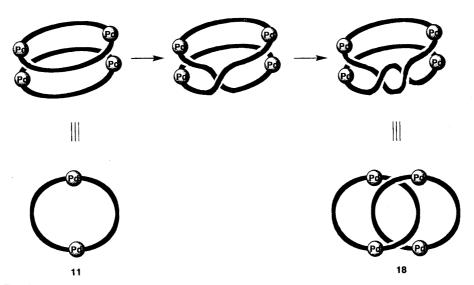


Fig. 4. Topological presentation of the mechanism for the rapid slippage of molecular rings via ligand exchange.

Table 3. Medium Effects on the Equilibrium Ratio of 18 and 11^{a)}

Medium	Guest ^{b)} /mol equiv	18 : 11
1.0 M NaNO ₃ /D ₂ O		>99:<1
$0.2 \text{ M NaNO}_3/D_2O$		95 : 5
$0.05 \text{ M NaNO}_3/D_2O$		86:14
D_2O		59:41
D_2O	0.5	27:73
D_2O	2.0	12:88
$D_2O-CD_3OD(7:3)$		9:91
$D_2O-CD_3OD (5:5)$		<1:>99

a) Measured at 10 mM, room temperature. b) Sodium (*p*-methoxyphenyl)acetate.

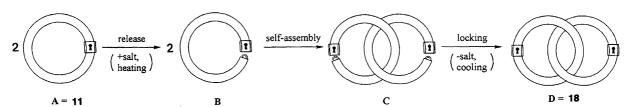
The concept of "molecular lock" stems from the exploitation of the dual character of the Pt(II)—Py bond. This bond can be likened to a lock because it is irreversible ('locked') under ordinary conditions but becomes reversible ('released') in a highly polar medium at high temperature. Incorporation of the molecular lock into a macrocyclic framework made

it possible to irreversibly interlock two molecular rings. In Scheme 3, molecular ring 21 is initially on the lock (A). The lock is then released by adding a salt (NaNO₃) and heating at 100 °C (B), allowing the self-assembly of a catenated framework (C). Finally, this framework is locked by removing the salt and cooling (D). This chemical manipulation of molecular rings was successfully accomplished and we obtained locked catenane 19 in a high yield.

Self-Assembly of 3D Cagelike Hosts. Macrocycles are two-dimensional receptors. On the other hand, three-dimensional receptors are provided by hollow, cagelike molecules. The door of the chemistry of the 3D receptors was opened in the 1970s by three-dimensional chelating compounds or cryptands. 41) Later, various cage- and bowl-like complexes with a 3D void have been prepared, 42,43) and these compounds often showed remarkably high molecular recognition ability. One of the most striking studies that definitely differentiates the 3D cavity from the 2D system is the chemistry of carcerands developed by D. J. Cram. 43,44) A guest molecule encapsulated in the carcerand was completely isolated from the outside and an otherwise extremely unstable molecule can exist with a long lifetime in the 3D cavity at room temperature. 44) Stereoisomerism in the 3D cavity of carcerand was recently reported. 45) Thus chemists can expect the development of new chemistry in chemically created endohedral fields inside the 3D receptors.

Despite their remarkable potential ability, however, difficulties encountered in their syntheses extremely limit the development of the chemistry of the 3D compounds. Again the following section emphasizes that the metal-directed self-assembly provides a very efficient approach to 3D receptors. Recent examples of self-assembling 3D compounds involve Saalfrank's adamantane-like aggregates, 46 Rebek's hydrogen bonded spherical dimers, 47 and Lehn's cylindrical complex. 14

Guest-Induced Assembly of an M_3L_2 Cagelike Complex: Induced-Fit Molecular Recognition. The self-assembly of macrocycles using protected Pd(II) complex 1 was applied to a three-dimensional system. Thus, the reaction of the cis-protected palladium(II) and tridentate ligand 22 in a 3:2 stoichiometry was examined in expectation of the assembly of cagelike supramolecule 23 (Eq. 6). We found that the self-assembly of 23 is quite different from that of other supramolecules because 23 is assembled only in the presence of an appropriate guest. Namely, an intractable mixture of oligometric products is obtained in the absence of a guest molecule. However, the addition of an appropriate



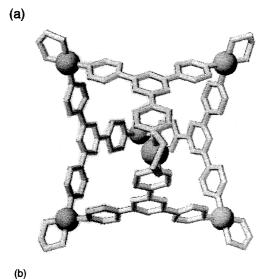
Scheme 3. Schematic presentation of the irreversible formation of a catenane from two complete rings using a "molecular lock".

guest induces the assembly of cagelike complex 23. For example, the addition of sodium (p-methoxyphenyl)acetate to the oligomer mixtures induced the formation of a 1:1 host-guest complex which was isolated in 94% yield. The guest-induced assembly of 23 was monitored by a time-dependent ¹H NMR measurement. The high upfield shift of guest signals in NMR ($\Delta\delta$: up to ca. 3 ppm) supports the encapsulation of the guests in the cavity. NMR titration experiments showed 1:1 complexation between 23 and various organic carboxylates. The relative ability of these guests to induce the assembly of 23 was estimated from NMR yields of the 1:1 host-guest complexes (Chart 4). The results shown in Chart 4 demonstrate that bulky substituents, whose sizes are comparable to that of the cavity of 23, are so effective as to induce the self-assembly of 23. Thus, the guestinduced assembly of 23 provides an entire model for "induced-fit" molecular recognition because the recognition site of a receptor organizes in the presence of specific guests. A macrocyclic complex showing similar behavior was recently reported.49)

Self-Assembly of Hollow, Nanosized M₆L₄ Complexes: Molecular-Based Ultrafine Particles. 50) Precise control of nanometer-scale structures has become one of the most important subjects in both physics and chemistry. 51-53) Of many nano-scale materials, ultrafine particles are of intense interest from a materials science point of view because they are expected to show unique properties which fine particles have never possessed. Usually, ultrafine particles are prepared by grinding fine particles. In contrast, we constructured molecular-based ultrafine particles through supramolecular selfassembly from ten simple molecules by simply extending our basic concept (Scheme 1) to a three-dimensional system. Thus, nanometer-scale supramolecule with adamantane-like symmetric framework (25a) was assembled from six molecules of 1 and four molecules of 2,4,6-tri-4-pyridy-1,3,5-triazine (24a) (Eq. 7).

a) The NMR yield of **23** is determined at $[22]_0=2$ mM, $[1]_0=3$ mM, and [guest]=1.5 mM. The negative values present upfield shift $(\Delta\delta$ in ppm) in 1H NMR measured at $[22]_0=6$ mM, $[1]_0=9$ mM, and [guest]=1.5 mM: at these conditions, $\Delta\delta$ values of the guests (except $C_6H_4(COONa)_2$) were saturated. b) Axial and equatorial protons are donated by a and e, respectively. c) $\Delta\delta$ values could not be analyzed due to overlap with uncharacterized signals.

Chart 4. Guest-Induced Organization of 23.a)



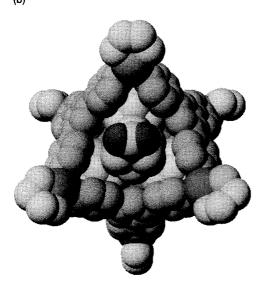


Fig. 5. The crystal structure of nanosized aggregate (25a')-(26)₄. (a) Only the host framework is shown. (b) A view looking down the entrance of the nanosized cavity (a CPK presentation).

The structure of **25a** was confirmed by X-ray crystallography. Although **25a** itself was obtained as a single crystal, they were too unstable for any diffraction study. However, in the presence of 1-adamantanecarboxylate ion **(26)**, relatively stable crystals of clathrate complex **(25a')**•**(26)**₄ were obtained **(25a'=25a-NO**₃⁻). The crystallography showed that the three dimensional **25a** structure really exists and four guest molecules are tightly encapsulated inside the nanosized cavity of **25a** (Fig. 5). A spectroscopic study based on NMR showed that the same host–guest aggregate **(25a')**•**(26)**₄ was also organized even in an aqueous media.

Larger derivatives **25b,c** were accessible by employing phenylene- or biphenylene-bridged ligand **24b,c**. The molecular sizes of these molecules amount to several nanometers, which were in fact measured by a common laser light scattering method, showing their characteristics as particles. ⁵⁰⁾

Conclusion

Supramolecular self-assembly of macrocycles, hollow cages, and catenanes has been achieved through coordination of various bridging ligands on cis-protected palladium-(II) that provides 90° for the construction of the supramolecular architectures. Throughout the present study, we have mainly employed cis-protected palladium(II) as a tool for supramolecular self-assembly. One might question "why palladium(II)?". Before closing this paper, a brief answer will be given.

In our basic concept (Scheme 1), we needed a stable transition metal with a square planar geometry and a suitable cisprotective group on the metal. Group 10 metals with a +II oxidation state (Ni²⁺, Pd²⁺, and Pt²⁺) are the best candidates since they are very stable to air and water and always provide desired square planer geometry. In addition, cationic charge on metals is expected to produce the high water solubility of the self-assembled complex with a hydrophobic cavbity. We found that coordination on Ni²⁺ was so labile that the ethylenediamine protective group dissociated during or after the desired self-assembly process. On the other hand, coordination Pt2+ was so inert that pyridyl ligands would not dissociate under the ordinary conditions (though it temporarily dissociates in polar media at elevated temperature as already discussed). The best combination is ethylenediamine-protected palladium(II) and pyridyl ligands. The moderate lability of the coordinate bond on Pd²⁺ is quite appropriate for our purpose. That is, ethylenediamine (an aliphatic chelating ligand) never dissociates from the metal whereas pyridyl ligands (aromatic monodentate ligands) are bonded reversibly to Pd(II). Probably, the success of this study is due to the finding of the best combination: an ethylenediamine protective group-palladium(II)-pyridyl ligands. More significantly, this combination makes the strategy of our work quite different from that of other metal-directed self-assembly systems.

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